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COMMENT

Improved continued fraction treatment of the one-dimensional scattering problem

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Abstract. The Numerov discretisation scheme is used to obtain a numerical solution of the one-dimensional scattering problem for an arbitrary potential barrier. The three-term recursion obtained from this scheme is treated by using a continued fraction technique in order to extract an explicit expression of the transmission coefficient and the phaseshift. The efficiency of the method is discussed.

In a recent paper (Vigneron and Lambin 1980, hereafter referred to as VL) a numerical method for computing the transmission coefficient in one-dimensional scattering problems has been presented. The aim of this comment is a new formulation of the problem in order to obtain not only the transmission coefficient but also the wavefunction phaseshift. Furthermore the precision has been considerably improved by discretisation of the Schrödinger equation

$$\frac{d^2\psi(x)}{dx^2} = (v(x) - \varepsilon)\psi(x)$$
(1)

using the Numerov method (Numerov 1924) rather than the simple second-order difference equation considered in VL. The Numerov method gives rise to the following finite difference equation

$$[1 - \frac{1}{12}h^{2}(v(x_{p+1}) - \varepsilon)]\psi(x_{p+1}) - [2 + \frac{5}{6}h^{2}(v(x_{p}) - \varepsilon)]\psi(x_{p}) + [1 - \frac{1}{12}h^{2}(v(x_{p-1}) - \varepsilon)]\psi(x_{p-1}) = 0$$
(2)

when the x_p form a grid of equidistant points separated by the step *h*. The truncation error in equation (2) is proportional to h^4 . The Numerov method has been rather widely used to solve for the bound states of the radial Schrödinger equation encountered in molecular or nuclear physics (see, for instance, Hajj 1974). For our purpose we take advantage of the fact that equation (2) is still a three-term recursion so that the continued fraction technique can be used along the same lines described in VL.

It will be assumed that the potential energy v(x) is constant and equal to zero outside the interval $]x_0, x_{n+1}[$. Following VL we look for a solution of the finite difference equation (2) which satisfies the conditions

$$\psi(x_p) = A[R^{-}]^{|p|} + B[R^{+}]^{|p|}$$
(3)

for $p \leq 0$ and

$$\psi(x_p) = [R^+]^{p-n-1} \tag{4}$$

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for $p \ge n+1$. In these expressions, R^+ and R^- are given by

$$R^{\pm} = \frac{1 - \frac{5}{12}h^{2}\varepsilon}{1 + \frac{1}{12}h^{2}\varepsilon} \pm i \left[1 - \left(\frac{1 - \frac{5}{12}h^{2}\varepsilon}{1 + \frac{1}{12}h^{2}\varepsilon}\right)^{2} \right]^{1/2}$$
(5)

which are complex conjugate in the energy range $0 < \varepsilon < 6/h^2$. The problem is solved once the coefficients A and B involved in equation (3) are known. A first relation between A and B is easily obtained in the form (see VL for details)

$$\frac{AR^{-} + BR^{+}}{A + B} = \frac{R^{-}A_{n+2} - a_{n+1}(\varepsilon)A_{n+1}}{R^{-}B_{n+1} - a_{n+1}(\varepsilon)B_{n}}$$
(6)

where the A_{p+1} and B_p are constructed recursively by

$$A_{p+1} = b_p(\varepsilon)A_p - a_{p-1}(\varepsilon)A_{p-1} \tag{7}$$

$$B_{p} = b_{p}(\varepsilon)B_{p-1} - a_{p-1}(\varepsilon)B_{p-2}$$
(8)

for p = 2, 3, ..., n + 1, with the starting values

$$A_1 = b_0(\varepsilon) \qquad A_2 = b_1(\varepsilon)b_0(\varepsilon) - a_0(\varepsilon) \tag{9}$$

$$B_0 = 1 \qquad \qquad B_1 = b_1(\varepsilon). \tag{10}$$

The $a_p(\varepsilon)$ and $b_p(\varepsilon)$ which appear in the last equations are the partial numerators and denominators of the continued fraction generated from the recurrence

$$R_{p-1} = b_p(\varepsilon) - a_p(\varepsilon)/R_p \tag{11}$$

between the ratios R_p of the wavefunction values at two successive grid points x_p and x_{p+1} and are easily deduced from equation (2). A second independent relation between A and B can be found by noticing that

$$\psi(x_0) = \psi(x_{n+1}) \prod_{p=0}^n R_p.$$
 (12)

Using a theorem which has been demonstrated in the appendix of a previous paper (Vigneron and Lambin 1979) and after some simple mathematical transformations equation (12) yields

$$A + B = \frac{R^{-}B_{n+1} - a_{n+1}(\varepsilon)B_{n}}{R^{-}}.$$
(13)

The transmission coefficient T and the phaseshift φ are defined respectively as the square modulus and the phase of the complex quantity 1/A. Combining equations (6) and (13) gives the following explicit formula

$$\sqrt{T} e^{i\varphi} = \frac{1}{A} = \frac{R^+ - R^-}{a_{n+1}(\varepsilon)R^+ C_{n+1} - C_{n+2}}$$
(14)

where the complex quantities

$$C_{p+1} = A_{p+1} - R^+ B_p \tag{15}$$

can be recursively constructed using a recursion identical to that defined by equations (7) or (8).

The use of the Numerov method implicitly supposes that the potential energy v(x) is doubly differentiable. In the case where a jump of potential occurs at a grid point x_p , the

method may still be used by an adaptation of the coefficients $a_p(\varepsilon)$ and $b_p(\varepsilon)$ which connect R_{p-1} and R_p . By Taylor expansions of $\psi(x_p - h)$ and $\psi(x_p + h)$ and by imposing the continuity of the logarithmic derivative of the wavefunction at x_p , one again finds relation (11) with

$$a_{p}(\varepsilon) = \frac{1 - \frac{1}{12}h^{2}(\frac{3}{2}v^{+}(x_{p+1}) - \frac{1}{2}v^{-}(x_{p+1}) - \varepsilon)}{1 - \frac{1}{12}h^{2}(\frac{3}{2}v^{-}(x_{p-1}) - \frac{1}{2}v^{+}(x_{p-1}) - \varepsilon)}$$
(16)

and

$$b_{p}(\varepsilon) = \frac{2 + \frac{5}{6}h^{2}(\frac{1}{2}v^{+}(x_{p}) + \frac{1}{2}v^{-}(x_{p}) - \varepsilon)}{1 - \frac{1}{12}h^{2}(\frac{3}{2}v^{-}(x_{p-1}) - \frac{1}{2}v^{+}(x_{p-1}) - \varepsilon)}.$$
(17)

It has been assumed that the potential energy is equal to $v^{-}(x)$ left of the x_p and equal to $v^{+}(x)$ right of x_p as shown in figure 1. In the case where no discontinuity occurs, equations (16) and (17) reduce to the expressions of $a_p(\varepsilon)$ and $b_p(\varepsilon)$ deduced from the recursion (2).



Figure 1. A discontinuity of the potential at a grid point x_p requires an adaptation of the Numerov discretisation scheme.

As an application of the method we consider again the square top barrier already solved in VL

$$v(\mathbf{x}) = 10\tag{18}$$

for 0 < x < 2 and

$$v(\mathbf{x}) = 0 \tag{19}$$

for x < 0 and x > 2. Table 1 shows how the convergence of the transmission coefficient and the phaseshift toward the exact values (Schiff 1955) is fairly fast. As compared with VL, a substantial increase in precision is found. Figure 2 represents the phaseshift and its derivative with respect to the energy in the case where n = 100. The derivative of the phaseshift with respect to energy is interesting because closely related to the quantum tunnelling time (Messiah 1969). This is especially important for the investigation of resonant states in the continuous spectrum of the one-dimensional Hamiltonian. An interesting description of this can be found in Goldberg *et al* (1967).

Energy ε		0.5	5	20
Transmission coefficient	n = 10 n = 50 n = 100 n = 200 Exact	$\begin{array}{c} 0.330\ 672\times 10^{-5}\\ 0.336\ 052\times 10^{-5}\\ 0.336\ 098\times 10^{-5}\\ 0.336\ 103\times 10^{-5}\\ 0.336\ 104\times 10^{-5}\\ \end{array}$	$\begin{array}{c} 0.515\ 719\times 10^{-3}\\ 0.521\ 734\times 10^{-3}\\ 0.521\ 786\times 10^{-3}\\ 0.521\ 793\times 10^{-3}\\ 0.521\ 794\times 10^{-3}\\ \end{array}$	0.999 688 0.999 785 0.999 786 0.999 786 0.999 786
Phaseshift	n = 10 n = 50 n = 100 n = 200 Exact	-1.121 11 -1.119 78 -1.119 77 -1.119 77 -1.119 77	$\begin{array}{c} -0.558\ 281\times 10^{-2}\\ -0.561\ 872\times 10^{-4}\\ -0.723\ 432\times 10^{-5}\\ -0.917\ 858\times 10^{-6}\\ 0.0\end{array}$	6.325 85 6.327 04 6.327 06 6.327 06 6.327 06

Table 1. Convergence of the transmission coefficient and of the phaseshift for different discretisation step sizes of the square-top potential barrier.



Figure 2. (a) Phaseshift (broken curve) and its derivative with respect to the energy (full curve) for the square-top potential barrier. (b) Absolute deviation of the phaseshift from the exact result: $\varphi - \varphi_{\text{exact}}$.

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